

Level I Violations - Division III

Level I Violations: Level I violations include the following bylaw cites, plus:

- Any intentional violations;
- Any similar violations that have occurred in the same sport during that year;
- Any violations that are not isolated or limited to a single occurrence.

[Note: The bylaw cites have been updated to reflect the cites as they appear in the 2005-06 NCAA Manual. Also, the former "problem bylaws" are now only considered Level I, to avoid confusion.]

Bylaw 10

- 10.01.1 - Standards of Honesty and Sportsmanship
- 10.1 - Unethical Conduct
- 10.2 - Knowledge of Use of Banned Drugs
- 10.3 - Gambling Activities

Bylaw 11

- 11.1.2 - Representing Individuals in Marketing Athletics Ability/Reputation

Bylaw 12

- 12.1 - General Regulations (Amateurism)
- 12.2 - Involvement with Professional Teams
- 12.3 - Use of Agents
- 12.4.1 - Criteria Governing Compensation to Student-Athletes
- 12.4.2* - Specific Athletically Related Employment Activities - *Limited to violations that
involve impermissible earnings of more than \$100
- 12.5.1 - Permissible Promotional Activities
- 12.5.2 - Media Activities

Bylaw 13

- 13.01.2 - Entertainment
- 13.01.4 - Recruiting by Representatives of Athletics Interests
- 13.02.2 - Contact (Definition and Application)
- 13.1.1.1 - High-School Prospects (Contacts and Evaluations)
- 13.1.1.2 - Four-Year College Prospects (Contacts and Evaluations)
- 13.1.2.1 - Talent Scout - Permissible Recruiters
- 13.2 - Offers and Inducements
- 13.5* - Transportation - *Limited to violations involving a value of more than \$100 in D-III
- 13.6.1.1 - One-Visit Limitation (Limitations on Official Visits)
- 13.6.4 - Accommodations on Official Visit
- 13.6.5* - Entertainment/Tickets on Official Visit - * Limited to violations involving a value of

- more than \$100 in D-III
- 13.6.6* - Lodging for Additional Persons - * Limited to violations involving a value of more than \$100 in D-III
- 13.7.2* - Entertainment/Tickets (Unofficial Visit) - * Limited to violations involving a value of more than \$100 in D-III
- 13.8* - Entertainment, Reimbursement and Employment of H.S. Coaches, etc. - * Limited to violations involving a value of more than \$100 in D-III
- 13.12.1.2 - Attendance Restriction (Sports Camps and Clinics)
- 13.12.1.4* - Employment of Prospects (Sports Camps and Clinics) - *Limited to violations involving a value of more than \$100 in D-III
- 13.12.2.1* - Student-Athletes Employment at Camp or Clinic - * Limited to violations involving a value of more than \$100 in D-III - Does NOT include Bylaws 13.12.2.1.2
- 13.12.4 - Privately Owned Camp
- 13.14.1 - Institutional Control (Use of Recruiting Funds)
- 13.14.4 - Slush Funds
- 13.15* - Precollege Expenses - * Limited to violations involving a value of more than \$100 in D-III, to a specific student-athlete

Bylaw 14

- 14.01.1 - Institutional Responsibility
- 14.01.2 - Academic Status
- 14.01.3 - Compliance with Other NCAA and Conference Legislation
- 14.1.1 - Postseason and Regular-Season Competition
- 14.1.2 - Validity of Academic Credentials
- 14.1.7 - Admission and Enrollment
- 14.1.8.2 - Requirement for Competition (Full-Time Enrollment)
- 14.1.9 - Graduate Student/Postbaccalaureate Participation
- 14.2 - Seasons of Participation: 10-Semester/5-Quarter Rule (All of Bylaw 14.2)
- 14.4.1 - Satisfactory-Progress Requirements
- 14.5.1 - Residence Requirement (Transfer Regulations)
- 14.5.4 - Two-Year College Transfers
- 14.5.5 - Four-Year College Transfers
- 14.7 - Outside Competition
- 14.11.1 - Obligation to Withhold Student-Athlete from Competition

Bylaw 15

- 15.01.1.1 - Financial Aid to Attend Another Institution
- 15.01.2 - Improper Financial Aid
- 15.01.3 - Institutional Financial Aid
- 15.01.4 - Contributions by Donor

- 15.01.5 - Athletics Funds or Endowments
- 15.01.6 - Athletics Need Fund
- 15.01.7 - Eligibility of Student-Athletes for Institutional Financial Aid
- 15.01.8 - Institutional Financial Aid to Professional Athlete
- 15.01.9 - Maximum Institutional Financial Aid to Individual
- 15.1 - Maximum Limit on Financial Aid - Individual
- 15.2.3 - Financial Aid From Outside Sources
- 15.2.4 - Summer Financial Aid
- 15.3.1 - Eligibility of Student-Athletes for Financial Aid
- 15.4.1 - Consistent Financial Aid Package
- 15.4.2 - Administration by Regular College Agency
- 15.4.3 - Written Offer of Aid
- 15.4.4 - Need Analysis Method
- 15.4.5 - Athletics Staff Involvement
- 15.4.6 - Matrix-Rating System
- 15.4.7 - Adjustments to Financial Aid Package

Bylaw 16*

*Limited to those Bylaw 16 violations that involve a value over \$100 in D-III

Bylaw 17 - None

Level II Violations - Division III

Level II Violations: All inadvertent, isolated violations (for violations involving Bylaws 11, 12, 13, 14, 15, 16 and 17) not listed as Level I violations are considered to be Level II violations.

- All Level II violations should be reported to the enforcement staff in summary form on an annual basis.* [Click here for the Annual Reporting Form.](#)

*[Note: NCAA Bylaw 3.2.4.15 - Reporting Secondary Violations - requires that Division III institutions must submit either an annual report of Level II secondary violations or an annual confirmation that the institution did not commit any Level II secondary violations. Any Level II secondary violations that occurred at the institution during the academic year should be included on the institution's annual reporting form and submitted to the enforcement staff during the summer, between the conclusion of the regular academic year and August 15. If an institution did not report any violations, it must verify that no violations occurred at the institution on the Sports Sponsorship Institutional Demographic Report, which must be submitted by August 15.

- All Level II violations are either de minimis (do not affect eligibility) or restitution (eligibility reinstated upon repayment of the value of the impermissible benefit to a charity).

- Some violations, although designated as Level II, require that specific penalties be imposed or specific actions be taken. These include:

Bylaw 12.6 violations - Financial Donations from Outside Organizations. Any impermissible financial donations received from a professional sports organization must be deposited in the institution's general scholarship fund. Any impermissible financial donations from a nonprofessional sports organization must be returned to the organization.

Bylaws 13.5, 13.6.5, 13.6.6, 13.7.2 and 13.8 violations - For which the value of the impermissible benefit is \$100 or less. The involved prospective student-athlete(s) must repay the value of the impermissible benefit to a charity of the prospective student-athlete's choice to be eligible for intercollegiate competition at that specific institution. Documentation of such repayment should be kept on file by the institution. Any Bylaws 13.5, 13.6.5, 13.6.6, 13.7.2 and 13.8 violations for which the institution would like eligibility reinstated without repayment should be sent directly to SAR.

Bylaw 16 violations - Awards, Benefits and Expenses for Enrolled Student-athletes. For violations in which the value of the impermissible benefit is \$100 or less (except for Bylaws 16.8.1.1 and 16.8.1.2 violations). The involved student-athlete(s) must repay the value of the impermissible benefit to a charity of the student-athlete's choice prior to competing in the next contest. Documentation of such repayment should be kept on file by the institution. Any Bylaw 16 violations for which the institution would like eligibility reinstated without repayment should be sent directly to SAR. Bylaws 16.8.1.1 and 16.8.1.2 violations are de minimis and the involved student-athlete(s) should not be required to repay any expenses.

Bylaw 17 violations - Playing and Practice Seasons. All Bylaw 17 violations require a 2-for-1 reduction in athletically related activities (e.g., exceeding the 20 hour per week limit on practice activities by two hours would result in a four-hour reduction in practice activities the next week), except Bylaw 17.__.3 (First Contest/Date of Competition), which requires that a letter of admonishment be issued to the responsible individual(s). Any Bylaw 17 violations for which the institution is requesting relief from the prescribed penalty should be sent directly to the enforcement staff.

- For all other Level II violations, an institution and/or conference should take whatever corrective and/or punitive actions it feels is appropriate in response to a particular violation.